

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

MARK YOUNGERS, et al,)	No. 15-cv-8262-WHP <u>CLASS ACTION</u> STIPULATION OF VOLUNTARY DISMISSAL PURSUANT TO F.R.C.P. 41(a)(1)(A)(ii)
)	
Plaintiffs,)	
)	
-against-)	
)	
VIRTUS INVESTMENT PARTNERS, INC., et al)	
)	
Defendants.)	
)	

WHEREAS the operative complaint in this action (the “Complaint”) is the Second Amended Class Action Complaint for Violation of the Federal Securities Laws, filed on January 4, 2016, (Docket No. 63) and alleged violations of Section 10(b) and 20(a) of the Securities Exchange Act of 1934, Sections 11, 12(a)(2) and 15 of the Securities Act of 1933, and derivative claims for breach of fiduciary duty and aiding and abetting such breach;

AND WHEREAS on July 31, 2016, the Court issued an order dismissing all claims except those predicated on violations of Sections 10(b) and 20(a) of the Securities Exchange Act of 1934 (Docket No. 109);

IT IS HEREBY STIPULATED AND AGREED by and between the parties and/or their respective counsel that the remaining counts in the above-captioned action, for violations of Sections 10(b) and 20(a) of the Securities Exchange Act of 1934, are voluntarily dismissed with prejudice against all defendants pursuant to the Federal Rules of Civil Procedure 41(a)(1)(A)(ii), with each side to bear their own costs.

IT IS FURTHER STIPULATED AND AGREED that Plaintiffs will have no right to appeal any order or judgment in the above-captioned proceeding, and Plaintiffs hereby waive any such right to appeal they might otherwise have.

IT IS FURTHER STIPULATED AND AGREED that all parties complied with Rule 11 of the Federal Rules of Civil Procedure at all times.

Dated: December 22, 2017

THE ROSEN LAW FIRM, P.A.

By: /s/ Laurence M. Rosen
Laurence M. Rosen
Jonathan Stern
275 Madison Avenue, 34th Floor
New York, NY 10016
Telephone: (212) 686-1060
Facsimile: (213) 202-3827

Counsel for Plaintiffs

Dated: December 22, 2017

SIMPSON THACHER & BARTLETT LLP

By: /s/ Joseph M. McLaughlin
Joseph M. McLaughlin
George S. Wang
Shannon K. McGovern
425 Lexington Avenue
New York, NY 10017
Tel: (212) 455-2000
Fax: (212) 455-2502

Counsel for Defendants

SO ORDERED:


WILLIAM H. PAULEY III
U.S.D.J.

Dated: December 27, 2017
New York, New York